

**Computational Logic**  
Logic Programming:  
*Model and Fixpoint Semantics*

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**Towards the Model and Fixpoint Semantics**

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- We have seen previously the operational semantics (SLD-resolution).
- We now present the (declarative) *Model Semantics*:
  - ◇ We define our semantic *domain* (Herbrand interpretations).
  - ◇ We introduce the Minimal Herbrand Model.
- And the (also declarative) *Fixpoint Semantics*.
  - ◇ We recall some basic fixpoint theory.
  - ◇ Present the  $T_P$  operator and the classic fixpoint semantics.

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## Declarative Semantics – Herbrand Base and Universe

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- Given a first-order language  $L$ , with a non-empty set of variables, constants, function symbols, relation symbols, connectives, quantifiers, etc. and given a syntactic object  $A$ ,

$$\text{ground}(A) = \{A\theta \mid \exists \theta \in \text{Subst}, \text{var}(A\theta) = \emptyset\}$$

i.e. the set of all “ground instances” of  $A$ .

- Given  $L$ ,  $U_L$  (*Herbrand universe*) is the set of all ground terms of  $L$ .
- $B_L$  (*Herbrand Base*) is the set of all ground atoms of  $L$ .
- Similarly, for the language  $L_P$  associated with a given program  $P$  we define  $U_P$ , and  $B_P$ .

## Declarative Semantics – Herbrand Base and Universe (example)

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- Program:

$$P = \{ p(f(X)) \leftarrow p(X). \\ p(a). \\ q(a). \\ q(b). \}$$

- Herbrand universe:

$$U_P = \{a, b, f(a), f(b), f(f(a)), f(f(b)), \dots\}$$

- Herbrand base:

$$B_P = \{p(a), p(b), q(a), q(b), p(f(a)), p(f(b)), q(f(a)), \dots\}$$

## Herbrand Interpretations and Models

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- A *Herbrand Interpretation* is a subset of  $B_L$ , i.e. the set of all Herbrand interpretations  $I_L = \wp(B_L)$ .  
(Note that  $I_L$  forms a *complete lattice* under  $\subseteq$  – important for fixpoint operations to be introduced later).
- In previous example:  $P = \{ p(f(X)) \leftarrow p(X). \quad p(a). \quad q(a). \quad q(b). \quad \}$   
 $U_P = \{a, b, f(a), f(b), f(f(a)), f(f(b)), \dots\}$   
 $B_P = \{p(a), p(b), q(a), q(b), p(f(a)), p(f(b)), q(f(a)), \dots\}$   
 $I_P = \text{all subsets of } B_P$
- A *Herbrand Model* is a Herbrand interpretation which contains all logical consequences of the program.
- The *Minimal Herbrand Model*  $H_P$  is the smallest Herbrand interpretation which contains all logical consequences of the program. (Theorem: it is unique.)
- Example:  
 $H_P = \{q(a), q(b), p(a), p(f(a)), p(f(f(a))), \dots\}$

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## Declarative Semantics, Completeness, Correctness

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- *Declarative semantics of a logic program P*:  
the set of ground facts which are logical consequences of the program (i.e.,  $H_P$ ).  
(I.e., the *Minimal Herbrand model* (or “least model”) of  $P$ ).
- *Intended meaning of a logic program P*:  
the set  $I$  of ground facts that the user expects to be logical consequences of the program.
- A logic program is *correct* if  $H_P \subseteq I$ .
- A logic program is *complete* if  $I \subseteq H_P$ .
- Example:  
father(john,peter).  
father(john,mary).  
mother(mary,mike).  
grandfather(X,Y)  $\leftarrow$  father(X,Z), father(Z,Y).  
with the usual intended meaning is *correct* but *incomplete*.

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## Towards a Fixpoint Semantics for LP – Fixpoint Basics

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- A *fixpoint* for an operator  $T : X \rightarrow X$  is an element of  $x \in X$  such that  $x = T(x)$ .
- If  $X$  is a poset,  $T$  is monotonic if  $\forall x, y \in X, x \leq y \Rightarrow T(x) \leq T(y)$
- If  $X$  is a complete lattice and  $T$  is monotonic the set of fixpoints of  $T$  is also a complete lattice [Tarski]
- The least element of the lattice is the *least fixpoint* of  $T$ , denoted  $lfp(T)$
- Powers of a monotonic operator (successive applications):

$$T \uparrow 0(x) = x$$

$$T \uparrow n(x) = T(T \uparrow (n-1)(x)) \text{ (} n \text{ is a successor ordinal)}$$

$$T \uparrow \omega(x) = \sqcup \{T \uparrow n(x) \mid n < \omega\}$$

We abbreviate  $T \uparrow \alpha(\perp)$  as  $T \uparrow \alpha$

- There is some  $\omega$  such that  $T \uparrow \omega = lfp T$ . The sequence  $T \uparrow 0, T \uparrow 1, \dots, lfp T$  is the *Kleene sequence* for  $T$
- In a finite lattice the Kleene sequence for a monotonic operator  $T$  is finite

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## Towards a Fixpoint Semantics for LP – Fixpoint Basics (Contd.)

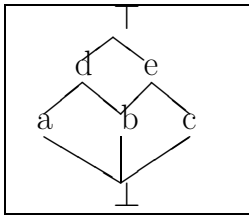
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- A subset  $Y$  of a poset  $X$  is an (ascending) chain iff  $\forall y, y' \in Y, y \leq y' \vee y' \leq y$
- A complete lattice  $X$  is *ascending chain finite* (or *Noetherian*) if all ascending chains are finite
- In an ascending chain finite lattice the Kleene sequence for a monotonic operator  $T$  is finite

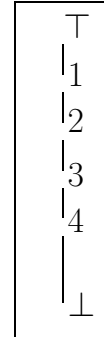
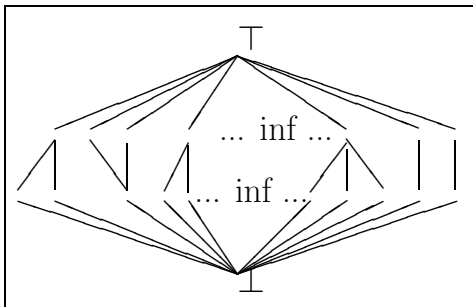
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## Lattice Structures

### finite



### finite\_depth



### ascending chain finite

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## A Fixpoint Semantics for Logic Programs

- Semantic *domain*:  $I_L = \wp(B_L)$ .
- I.e., the elements of the semantic domain and *interpretations* (subsets of the Herbrand base).
- Semantic *operator* (defined on programs): the *immediate consequences operator*,  $T_P$ :
  - ◇  $T_P$  is a mapping:  $T_P : I_P \rightarrow I_P$  defined by:
$$T_P(I) = \{A \in B_P \mid \exists C \in \text{ground}(P), C = A \leftarrow L_1, \dots, L_n \text{ and } L_1, \dots, L_n \in I\}$$
(in particular, if  $(A \leftarrow) \in P$ , then every element of  $\text{ground}(A)$  is in  $T_P(I), \forall I$ ).
- $T_P$  is monotonic, so:
  - ◇ it has a least fixpoint  $I^*$  so that  $T_P(I^*) = I^*$ ,
  - ◇ this fixpoint can be obtained by applying  $T_P$  iteratively starting from the bottom element of the lattice (the empty interpretation).

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## A Fixpoint Semantics for Logic Programs: Example 1 (finite)

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$$P = \{ p(X, a) \leftarrow q(X). \\ p(X, Y) \leftarrow q(X), r(Y). \\ q(a). \quad r(b). \\ q(b). \quad r(c). \}$$

$$U_P = \{a, b, c\}$$

$$B_P = \{ p(a, a), p(a, b), p(a, c), p(b, a), p(b, b), p(b, c), p(c, a), p(c, b), p(c, c), \\ q(a), q(b), q(c), \\ r(a), r(b), r(c) \}$$

$$I_P = \text{all subsets of } B_P$$

$$H_P = \{q(a), q(b), r(b), r(c), p(a, a), p(b, a), p(a, b), p(b, b), p(a, c), p(b, c)\}$$

$$T_P \uparrow 0 = \{q(a), q(b), r(b), r(c)\}$$

$$T_P \uparrow 1 = \{q(a), q(b), r(b), r(c)\} \cup \{p(a, a), p(b, a), p(a, b), p(b, b), p(a, c), p(b, c)\}$$

$$T_P \uparrow 2 = T_P \uparrow 1 = \text{lfp}(T_P) = H_P$$

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## A Fixpoint Semantics for Logic Programs: Example 2 (infinite)

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$$P = \{ p(f(X)) \leftarrow p(X). \\ p(a). \\ q(a). \\ q(b). \}$$

$$U_P = \{a, b, f(a), f(b), f(f(a)), f(f(b)), \dots\}$$

$$B_P = \{p(a), p(b), q(a), q(b), p(f(a)), p(f(b)), q(f(a)), \dots\}$$

$$I_P = \text{all subsets of } B_P$$

$$H_P = \{q(a), q(b), p(a)\} \cup \{p(f^n(a)) \mid n \in \mathcal{N}\}$$

where we define  $f^n(a)$  to be  $f$  nested  $n$  times and then applied to  $a$ .

(i.e.,  $q(a), q(b), p(a), p(f(a)), p(f(f(a))), p(f(f(f(a))))$ , ...)

$$T_P \uparrow 0 = \{p(a), q(a), q(b)\}$$

$$T_P \uparrow 1 = \{p(a), q(a), q(b), p(f(a))\}$$

$$T_P \uparrow 2 = \{p(a), q(a), q(b), p(f(a)), p(f(f(a)))\}$$

...

$$T_P \uparrow \omega = H_P$$

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## A Fixpoint Semantics for Logic Programs: Example 3 (infinite)

- Example:

$$P = \{ \text{nat}(0). \\ \text{nat}(s(X)) \leftarrow \text{nat}(X). \}$$

$$\text{sum}(0, X, X). \\ \text{sum}(s(X), Y, s(Z)) \leftarrow \text{sum}(X, Y, Z). \}$$

$$U_P = \{0\} \cup \{s(x) \mid x \in U_P\}$$

(i.e.,  $\{0, s(0), s(s(0)), s(s(s(0))), \dots\}$ ).

$$B_P = \{ \text{nat}(x) \mid x \in U_P \} \cup \{ \text{sum}(x, y, z) \mid x, y, z \in U_P \}$$

(i.e.,  $\{ \text{nat}(0), \text{nat}(s(0)), \text{nat}(s(s(0))), \dots \} \cup$   
 $\{ \text{sum}(0, 0, 0), \text{sum}(s(0), 0, 0), \text{sum}(0, s(0), 0), \text{sum}(0, 0, s(0)), \dots \}$ ).

## A Fixpoint Semantics for Logic Programs: Example 3 (infinite, cont.)

Constructing the least fixpoint of the  $T_P$  operator:

$$T_P \uparrow 0 = \{ \text{nat}(0) \} \cup \{ \text{sum}(0, x, x) \mid x \in U_P \}$$

$$T_P \uparrow 1 = T_P \uparrow 0 \cup \{ \text{nat}(s(0)) \} \\ \cup \{ \text{sum}(s(0), y, s(y)) \mid y \in U_P \}$$

$$T_P \uparrow 2 = T_P \uparrow 1 \cup \{ \text{nat}(s(s(0))) \} \\ \cup \{ \text{sum}(s(s(0)), y, s(s(y))) \mid y \in U_P \}$$

$$T_P \uparrow 3 = T_P \uparrow 2 \cup \{ \text{nat}(s(s(s(0)))) \} \\ \cup \{ \text{sum}(s(s(s(0))), y, s(s(s(y)))) \mid y \in U_P \}$$

...

$$T_P \uparrow \omega = \{ \text{nat}(x) \mid x \in U_P \} \cup \\ \{ \text{sum}(s^n(0), y, s^n(y)) \mid y \in U_P \wedge n \in \mathcal{N} \}$$

where we define  $s^x(y)$  to be  $s$  nested  $x$  times and then applied to  $y$ .

## Semantics – Equivalences

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- (Characterization Theorem) [Van Emden and Kowalski]

A program  $P$  has a Herbrand model  $H_P$  such that :

- ◇  $H_P$  is the least Herbrand Model of  $P$ .
- ◇  $H_P$  is the least fixpoint of  $T_P$  ( $lfp T_P$ ).
- ◇  $H_P = T_P \uparrow \omega$ .

I.e., *least model semantics* ( $H_P$ )  $\equiv$  *fixpoint semantics* ( $lfp T_P$ )

- In addition, there is also an equivalence with the *operational semantics* (SLD-resolution):

- ◇ SLD-resolution answers “yes” to  $a \in B_P \iff a \in H_P$ .

- Because it gives us a way to directly build  $H_P$  (for finite models), the least fixpoint semantics can in some cases also be an operational semantics (e.g., for *datalog* in *deductive databases*).